

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Complete if Known

Application Number	Not yet assigned
Filing Date	Filed concurrently with patent application
First Named Inventor	Gary William King
Group Art Unit	Not yet assigned
Examiner Name	Not yet assigned
Attorney Docket Number	P-3855.02 US

Sheet 1 of 2

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
56		H356		Stokes et al.	11-03-1987	
		H1,905		Hill	10-03-2000	
		3,737,579		Bolduc	06-05-1973	
		3,974,834		Kane	08-17-1976	
		4,209,019		Dutcher et al.	06-24-1980	
		4,217,913		Dutcher	08-19-1980	
		4,301,815		Doring	11-24-1981	
		4,311,153		Smits	01-19-1982	
		4,323,081		Wiebusch	04-06-1982	
		4,394,866		Hughes	07-26-1983	
		4,414,986		Dickhudt et al.	11-15-1983	
		4,419,819		Dickhudt et al.	12-13-1983	
		4,465,079		Dickhudt	08-14-1984	
		4,498,482		Williams	02-12-1985	
		4,590,949		Pohndorf	05-27-1986	
		4,628,944		MacGregor et al.	12-16-1986	
		4,686,765		Byers et al.	08-18-1987	
		4,699,147		Chilson et al.	10-13-1987	
		4,716,888		Wesner	01-05-1988	
		4,722,353		Sluetz	02-02-1988	
		4,796,643		Nakazawa et al.	01-10-1989	
		4,945,922		van Krieken	08-07-1990	
		4,971,070		Holleman et al.	11-20-1990	
		5,052,407	A	Hauser et al.	10-01-1991	
		5,105,826		Smits et al.	04-21-1992	
		5,121,754		Mullett	06-16-1992	
		5,127,421		Bush et al.	07-07-1992	
		5,179,962		Dutcher et al.	01-19-1993	
		5,215,089		Baker, Jr.	06-01-1993	
		5,282,845		Bush et al.	02-01-1994	
		5,300,107		Stokes et al.	04-05-1994	
		5,314,462		Heil, Jr. et al.	05-24-1994	
		5,344,439		Otten	09-06-1994	
		5,350,419		Bendel et al.	09-27-1994	
		5,397,343		Smits	03-14-1995	
		5,405,374		Stein	04-11-1995	
		5,425,756	A	Heil, Jr. et al.	06-20-1995	
		5,476,498	A	Ayers	12-19-1995	
		5,514,174		Heil, Jr. et al.	05-07-1996	
		5,545,207		Smits et al.	08-13-1996	
		5,628,778		Kruse et al.	05-13-1997	
		5,716,391		Grandjean	02-10-1998	
		5,807,399		Laske et al.	09-15-1998	
		5,837,006		Ocel et al.	11-17-1998	
		5,846,196		Siekmeier et al.	12-08-1998	
		5,871,530		Williams et al.	02-16-1999	
		5,897,585		Williams	04-27-1999	
		5,919,222		Hjelle et al.	07-06-1999	
		5,999,858		Sommer et al.	12-07-1999	

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known Application Number <i>Not yet assigned</i> Filing Date <i>Filed concurrently with patent application</i> First Named Inventor <i>Gary William King</i> Group Art Unit <i>Not yet assigned</i> Examiner Name <i>Not yet assigned</i> Attorney Docket Number <i>P-3855.02 US</i>				
Sheet	2	of	2					
U.S. PATENT DOCUMENTS								
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
		Number	Kind Code ² (if known)					
56		6,006,122		Smits	12-21-1999			
		6,038,472		Williams et al.	03-14-2000			
		6,055,457		Bonner	04-25-2000			
		6,093,197		Bakula et al.	07-25-2000			
		6,094,596		Morgan	07-25-2000			
		6,108,582		Fischer, Sr.	08-22-2000			
		6,132,456		Sommer et al.	10-17-2000			
		6,133,547		Maynard	10-17-2000			
		6,161,047		King et al.	12-12-2000			
		6,169,269	B1	Maynard	01-02-2001			
	6,178,355	B1	Williams et al.	01-23-2001				
	6,240,322	B1	Peterfeso et al.	05-29-2001				
FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee of Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ³
		Office ⁴	Number ⁷	Kind Code ² (if known)				
56		EP	0479435	A2	Ventritex, Inc.	04-08-1992		
56		EP	0965359	A2	Morgan Technologies Limited	12-22-1999		
56		WO	98/48887	A1	Medtronic, Inc.	11-05-1998		
OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS								
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.						T ³
56		Research Disclosure, "Shape-Memory Neurological Lead", Disclosed anonymously 35311, 1 pg. (September 1993), Author unknown..						
Examiner Signature		S. G.			Date Considered	11/29/06		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw Line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 USC 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

¹ Unique citation designation number.

² See attached Kinds of U.S. Patent Documents.

³ Unique citation designation number.

⁴ See attached Kinds of U.S. Patent Documents.

⁵ Applicant is to place a check mark here if English language translation is attached.

⁶ Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

⁷ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.